



## **Fact Sheet: BITA Wealth ESG Manager**

Delivering Sustainability, Suitability & Scalability

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# Support your ESG investment proposition as it evolves.

BITA Wealth ESG Manager supports a firm's ESG investment proposition as it evolves; whether delivering high quality ESG reporting to existing investors, or enterprise-wide segmentation and investment screening for prospective investors. BITA Wealth ESG Manager ensures the efficient, scalable integration of ESG investments across an organisation.

BITA Wealth's ESG Manager systematically screens investments to ensure investor preferences and restrictions are managed and monitored, and ensures any on-going asset or portfolio outliers are quickly identified and efficiently managed. Intuitive ESG exposure modelling tools allow individual or model portfolios to be screened, managed and reported on, to ensure that firms can quickly and effectively manage the risk of ESG exposures and ensure continued suitability for the investor.

For simplicity we refer to ESG, SRI, Impact, Carbon, Climate Change, ethical restrictions, Stewardship information, and product restriction investment strategies under the umbrella term of "ESG" in this factsheet.

## BITA Wealth ESG Manager Delivers:

High quality automated ESG reporting

On-going, daily suitability monitoring

Fully automated ESG exposure & conflict analysis, including look-through

Investor ESG preference capture & systematic investment screening

Enterprise-wide client segmentation, supporting individuals, Charities, Trusts & Institutions

ESG data management & data aggregation, combining data sources

Dynamic ESG conflict monitoring

ESG Model Management

Integrated exception approval processes, Management Information and trend analysis

Deliver manageable,  
scalable ESG client  
reporting, sophisticated  
ESG investment analytics &  
on-going ESG suitability  
monitoring across an  
organisation.



## ESG Data:

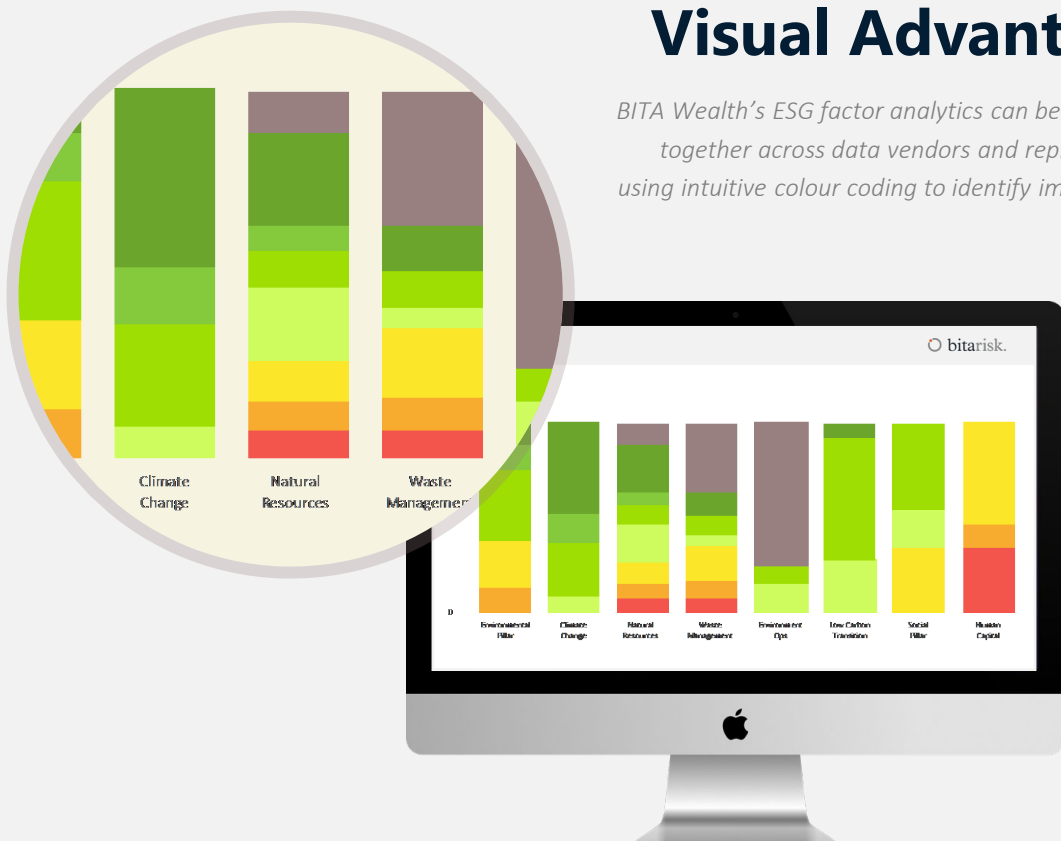
ESG and sustainable investment data are complex and too often oversimplified down to averages hiding the true nature, risks and opportunities of a holding or a portfolio.

BITA Risk has built its reputation on taking complex risk and portfolio data and making it highly usable and accessible through its portfolio analytics modules. This approach has now been applied to ESG management, automating many manual processes and integrating key data as part of the client profiling and investment processes. So, while a portfolio maybe greener than the benchmark, a firm, and the underlying investor will know any hidden issues.

BITA Wealth ESG Manager enables firms to bring together ESG data from multiple sources, provide overlays upon ESG market data, and input rationale within high-quality investor reports.

## Visual Advantage.

*BITA Wealth's ESG factor analytics can be brought together across data vendors and represented using intuitive colour coding to identify immediate conflicts*



© BTA Risk Limited 2021. All rights reserved										
	ESG	QAIR	PROFILE	PMP	E	E	ECO2	ED	AAP	
BVI0246654										
	ESG									
	Weighted	price	P	CO2	F	E	S	G	Themes	
	weight	shares	value	CTR	book cost	vol	PO			
178	0.0									
49	0.0	0.00	-1	✓	0	✓	0	0	0.18%	0
83	1.9	108.83	-1	✓	0	✓	0	0	0.18%	17081
				✓	0	✓	0	0	0.18%	£24,877
									0.00%	0
									£0	0
									48.17%	0
									£220,994	0.00%
									3.08%	0
									£74,663	0.01%
									8.08%	4520
									£74,663	0.01%
									0.00%	0
									£0	0%
									0.00%	0
									£0	0%
									0.00%	0
									£0	0%
									0.00%	0
									£0	0%
									7.25%	0
									£34,743	0.23%
									7.25%	248
									£34,743	0.23%
									15.68%	0
									£74,681	2.74%
									7.69%	2441
									£37,591	1.11%
									£37,591	1.11%
									7.79%	2381
									£37,590	1.06%
									£48,217	1.46%
									4.11%	0
									£19,597	0.41%
									4.11%	5375
									£19,597	0.41%
									£19,597	0.41%

# ESG factors, applied

BITA Wealth's ESG factor analytics apply quickly and easily in the context of the overall portfolio, using intuitively coloured factor barcodes to identify immediate conflicts

ESG										Current Portfolio		
		%vol	%yield	price	CO2	E	S	G	Themes	weight	shares	val
Company X	B4S**X4	32.9	1.5	2.9	<div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div><div></div></div>	6.2%	5700	13.29

## ESG Reporting:

BITA Wealth's ESG Manager delivers efficiency and scale to ESG reporting by producing high quality automated reports that explain how various ESG factors impact benchmark, current, and proposed portfolios, producing a list of proposed transactions and summary metrics as required. Visualisations highlight changes and impact across ESG exposures and avoid 'green-washing' averages by demonstrating how individual holdings influence the overall portfolio, showing whether they align or conflict with investor preferences. Generated ad-hoc or incorporated within an Annual Review process these reports are configured to a level of detail that meets the varying needs of individuals, Charities, Trusts, or Family Offices.

## Apply. Manage. Report.

MRS MIDDINS	P0023523	General Miggins Trust	PAGE 1
Portfolio ESG Factor Overall Exposures			
	% above average	% in conflict	
<b>E</b>			
<b>S</b>			
<b>G</b>			
ENVIRONMENT PILLAR	63%	14%	
SOCIAL PILLAR	36%	0%	
GOVERNANCE PILLAR	36%	0%	
Pollution Prevention	60%	1%	
Resource Efficiency	55%	0%	
Environmental Transparency	70%	11%	
Compensation & Satisfaction	65%	0%	
Diversity & Rights	48%	0%	
Education & Work Conditions	31%	0%	
Community & Charity	20%	0%	
Human Rights	20%	0%	
Disclosure & Accountability	51%	0%	
Board Effectiveness	33%	0%	
Management Ethics	1%	0%	
Sustainability Integration	30%	0%	

MRS MIDDINS	P0023523	General Miggins Trust	PAGE 2
KEY PORTFOLIO METRICS			
This section summarises the high level portfolio scores and compares changes. The charts below the head line scores for E, S and G for the current and proposed portfolios, together with their benchmark. In each case, the distribution of holdings scores from best to worst is displayed.			
current / proposed	environmental	social	governance
score distribution	E 3.4 / E 5.5	E 3.6 / E 4.2	G 3.7 / G 4.1
risk	28%	28%	28%
yield	5%	3%	3%
last 12 months return	3%	3%	3%
YOUR PRODUCT PREFERENCES			
This section summarises the % of assets that report this information that conflict with any Product Revenue preferences expressed.			
	preference	current	proposed
- Gambling	block	7%	1%
- Tobacco	block	0%	0%
YOUR ESG PREFERENCES			
This section summarises the % of assets that report this information that conflict with any ESG Factor preferences expressed.			
	preference	current	proposed
Pollution Prevention	average	15%	4%
ESG	Poor	30%	2%
Environmental Transparency	average	30%	11%
ESG	Poor	10%	0%
CO2 Exposure			
This section lists the portfolio holdings contributing the most to the portfolio carbon score and those with the lowest Low Carbon Transition scores in left current and proposed portfolios.			
Overall portfolio CO2 emissions	current	proposed	change
	11.5	11.2	-0.3%
Biggest weighted carbon contributors			
	current	proposed	
DOWNCASTLE INTL CORP	0.30	0.30	
DOWNCASTLE CORP	0.30	0.30	
DOWNCASTLE CORP	0.30	0.30	
DOWNCASTLE CORP	0.30	0.30	
DOWNCASTLE CORP	0.30	0.30	
DOWNCASTLE CORP	0.30	0.30	
DOWNCASTLE CORP	0.30	0.30	
DOWNCASTLE CORP	0.30	0.30	
DOWNCASTLE CORP	0.30	0.30	
DOWNCASTLE CORP	0.30	0.30	
Lowest Low Carbon Transition Scores			
	current	proposed	
Premier Oil	1	1	
Enxco	2	2	
Enxco	2	2	
Enxco	2	2	
Enxco	2	2	
Enxco	2	2	
Enxco	2	2	
Enxco	2	2	
Enxco	2	2	
Enxco	2	2	

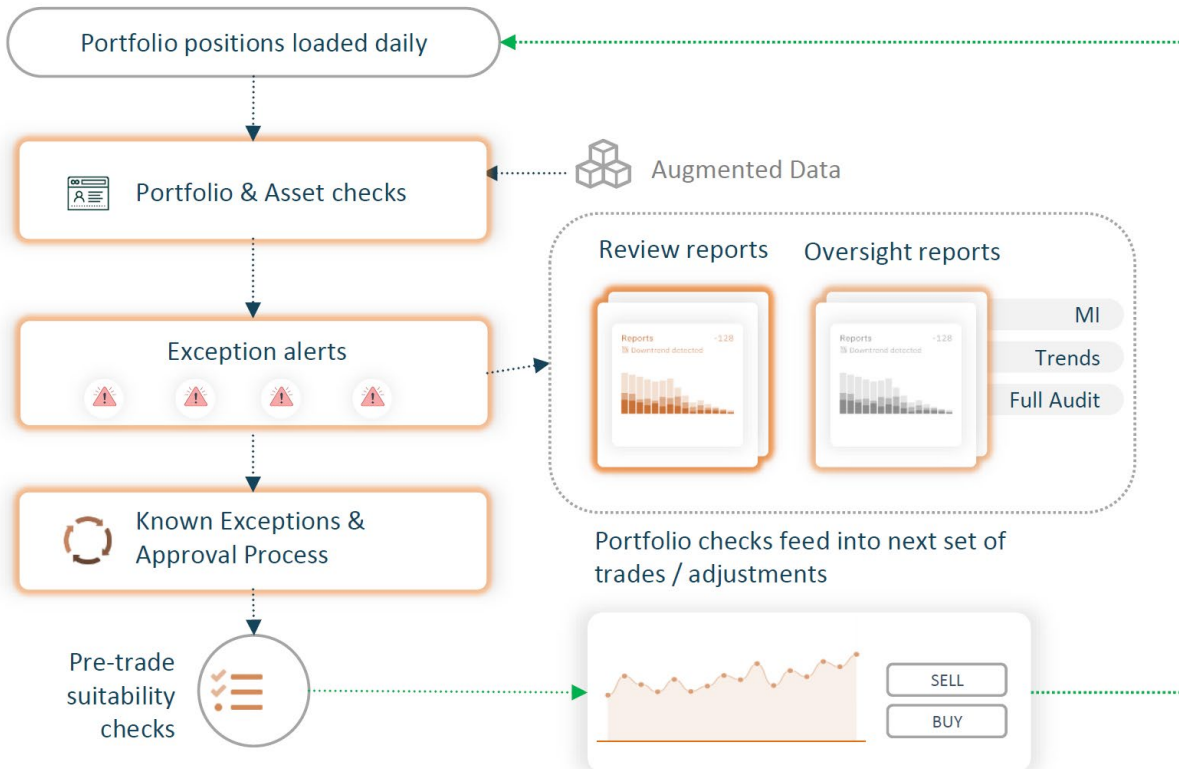
## ESG Monitoring:

BITA Wealth ESG Manager runs daily, automated tests across all portfolios, checking them against client preferences and ethical restrictions at asset and overall portfolio level, ensuring both the client and firm's investment policy objective are met. Investment managers have instant access to intuitive portfolio analytics and reporting, enabling them to better analyse and manage portfolios to ensure continued suitability.

Underpinned by full exception management and approval processes, BITA Wealth's ESG Manager enables structured data to be captured across an enterprise. A full set of high-quality reports are instantly available at Executive Committee, Branch, Manager and Client levels, enabling a firm to quickly identify, manage and mitigate the risks of asset or portfolio outliers whilst also understanding trends and patterns across the organisation.

## In-built checks and balances

INSTANT INFORMATION, ENTERPRISE WIDE



# React

With pre-trade conflict analytics, investment screening and advanced modelling.

## Advantages

Automated investment screening

Integrated preference & policy analytics

Suitable for individuals, charities & trusts

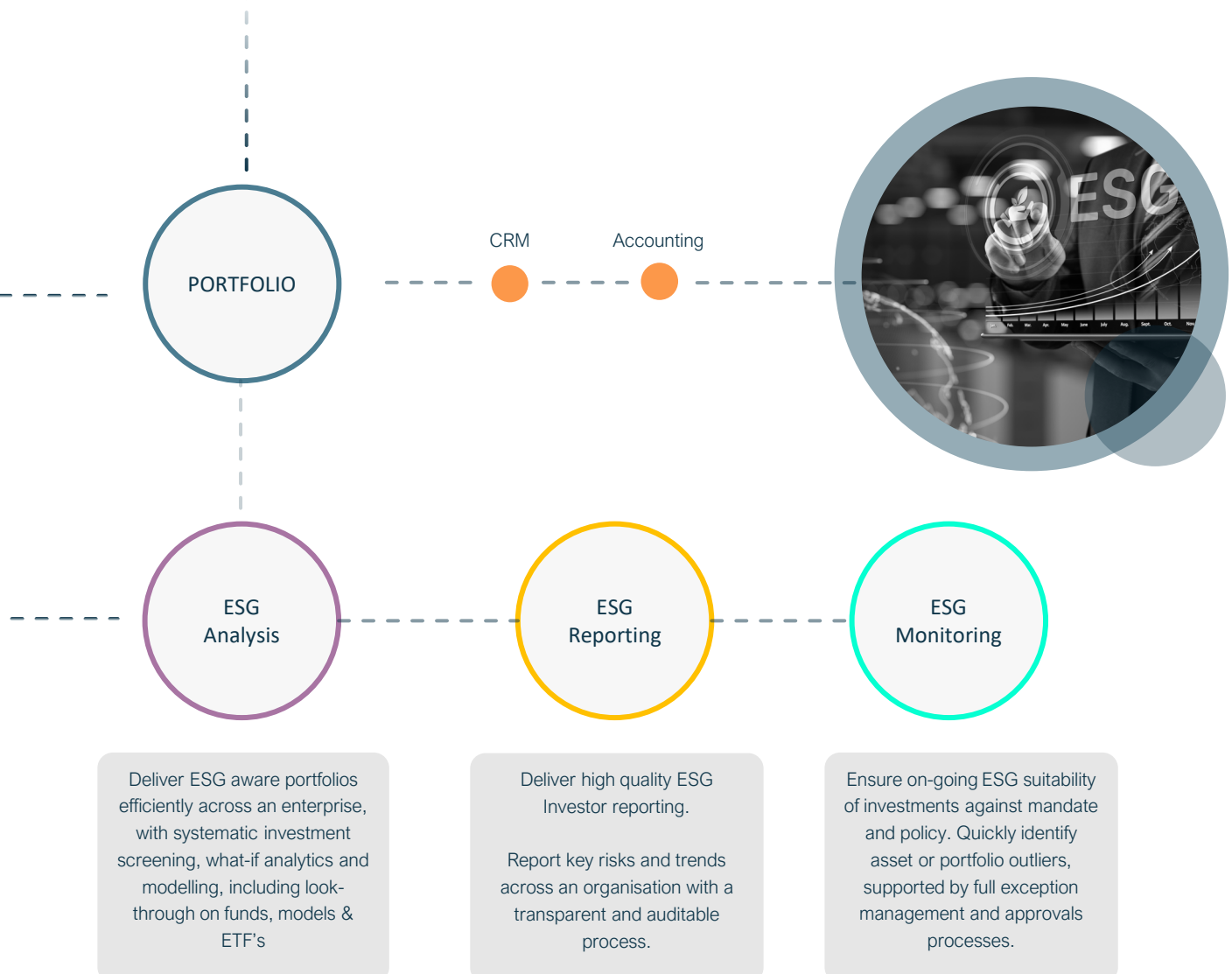
Intuitive ESG factor analytics

High quality ESG reporting

On-going ESG suitability monitoring

# Scale

Automated analysis, reporting, monitoring and trend analysis keep you ahead of the game as you grow and scale.



# How we bring practical ESG Management to your desktop.

5 easy steps:

1

## ESG reporting

Deliver investors high quality ESG exposure reports. Share the insights with investors through structured annual review or ad hoc reporting. Replay ESG factors, exposures, and characteristics, and, where already gathered, understand where investor ESG preferences have impacted the portfolio and how changes have been made to improve positive exposures and limit negative exposures.

2

## Capture client preferences

Whether the investor preference is simply a “greener” portfolio or specific ESG factors and Impact statements, capture this information across all client types and business units to deliver against business and regulatory requirements.

3

## Screen the portfolio

Review portfolio exposure to the different ESG factors, assess conflicts between client preferences and exposures and compare it to benchmark exposures. This step provides an overview of the portfolio as well as the holding level detail needed to understand where the exposures come from. The same applies to look through on funds, models and ETFs.

4

## Manage portfolio exposures

What-if analysis instantly updates the portfolio's overall ESG score and attribution to the factors and checks for client preference conflicts as you change holding weights or add positions. See which holdings are conflicted and what the impact of changing them is on risk, yield asset allocation, and other key portfolio metrics.

5

## Monitor portfolio drift, known exceptions and approvals

Ensure the on-going monitoring of portfolios from an ESG perspective, ensuring portfolios align with IPS / investor preferences. Deliver central governance enterprise oversight, control and structured MI / trend analytics around portfolio drift, known exception management and approvals.





# Agile solutions for a new age.

BITA Risk® delivers innovative, award-winning software to high and ultra-high net worth wealth managers. The BITA Wealth® application provides investment teams, advisors / managers, and governance divisions the tools they need to develop and grow their businesses in a consistent and controlled way. It brings valuable oversight, insight and transparency to the investment process, transforming the efficiency of your business and raising your game.

Unlike the rigid solutions of old, BITA Wealth modules can be taken independently, or can be combined to meet a firm's business needs across investor risk profiling, portfolio analytics, ESG management and enterprise level portfolio monitoring. Easily integrated within a firm's existing infrastructure, BITA Wealth delivers efficient, agile and cost-effective solutions to wealth management firms.

## MODULES

**BITA Wealth Profiler:** Investor profiling & proposal generation

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**BITA Wealth Portfolio Analytics:** Portfolio, risk and model management

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**BITA Wealth ESG Manager:** A practical approach to sustainable investment

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**BITA Wealth Monitor:** Enterprise oversight & control - daily, automated portfolio monitoring

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**BITA Wealth REG-9:** Automated REG-9 reporting

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For more information, our factsheets are available for all BITA Wealth modules at:

<http://www.corfinancialgroup/bitarisk>



## Contact us

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## about corfinancial

corfinancial provides software solutions and advisory services to banking and financial services organisations worldwide. The firm has offices in London, New York and Boston.

There are five key problem areas corfinancial looks to solve through its primary software and service offerings:

**BITA Risk** provides Investor Profiling, Portfolio Analytics, ESG Management and Portfolio Monitoring solutions to

wealth managers through the BITA Wealth application. Available as individual modules or as an end-to-end solution.

**SureVu** empowers buy- and sell-side firms to efficiently monitor and track security trades throughout the settlement lifecycle, enabling users to proactively manage and oversee settlement exposure.

**salerio** is a post-trade processing solution that enables asset managers, hedge fund managers and

securities/fund services firms to automate the flow of securities and treasury trades from matching through settlement.

**Costars** is an investment administration platform for third party administrators, fund supermarkets and wealth management companies.

**paragon** is a comprehensive front-to-back office fixed income portfolio accounting, processing and reporting solution for banks.

## Other solutions from

# corfinancial.

London | New York | Boston

## salerio.

Automates the flow of securities and treasury trades from matching through to settlement.

## paragon.

Fixed-income accounting hub delivers front to back-office portfolio accounting and processing solutions.

## SureVu.

Tracks security trades throughout the settlement lifecycle to manage and oversee settlement exposure.


## costars.

Retail fund/transfer agency solution providing end-to-end administration for collective investments.

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