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Fact Sheet: BITA Wealth ESG Manager

Delivering Sustainability, Suitability & Scalability

Support your ESG investment proposition as it evolves.

BITA Wealth ESG Manager supports a firm's ESG investment proposition as it evolves; whether delivering high quality ESG reporting to existing investors, or enterprise-wide segmentation and investment screening for prospective investors. BITA Wealth ESG Manager ensures the efficient, scalable integration of ESG investments across an organisation.

BITA Wealth's ESG Manager systematically screens investments to ensure investor preferences and restrictions are managed and monitored, and ensures any on-going asset or portfolio outliers are quickly identified and efficiently managed. Intuitive ESG exposure modelling tools allow individual or model portfolios to be screened, managed and reported on, to ensure that firms can quickly and effectively manage the risk of ESG exposures and ensure continued suitability for the investor.

For simplicity we refer to ESG, SRI, Impact, Carbon, Climate Change, ethical restrictions, Stewardship information, and product restriction investment strategies under the umbrella term of "ESG" in this factsheet.

BITA Wealth ESG Manager Delivers:

- High quality automated ESG reporting
- On-going, daily suitability monitoring
- Fully automated ESG exposure & conflict analysis, including look-through
- Investor ESG preference capture & systematic investment screening
- Enterprise-wide client segmentation, supporting individuals, Charities, Trusts & Institutions
- ESG data management & data aggregation, combining data sources
- Dynamic ESG conflict monitoring
- ESG Model Management
- Integrated exception approval processes, Management Information and trend analysis

Deliver manageable, scalable ESG client reporting, sophisticated ESG investment analytics & on-going ESG suitability monitoring across an organisation.

ESG Data:

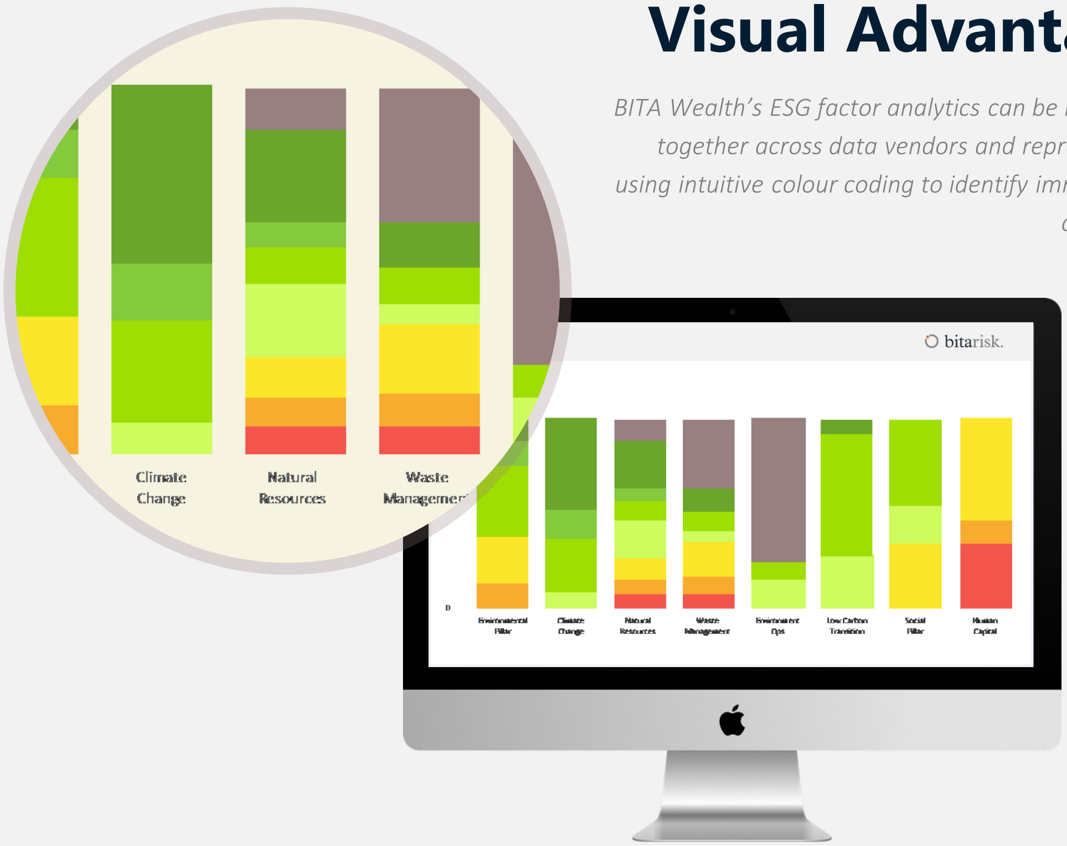
ESG and sustainable investment data are complex and too often oversimplified down to averages hiding the true nature, risks and opportunities of a holding or a portfolio.

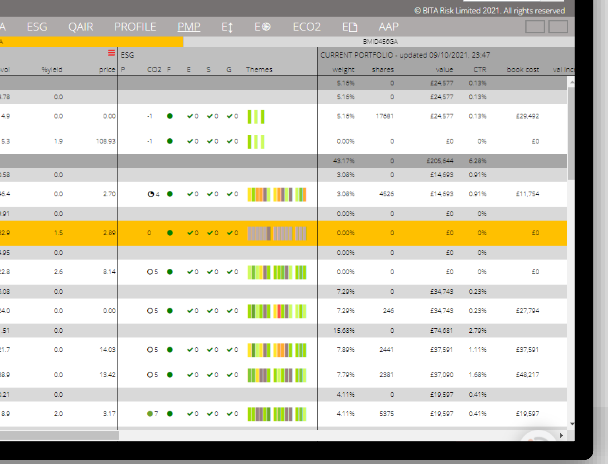
BITA Risk has built its reputation on taking complex risk and portfolio data and making it highly usable and accessible through its portfolio analytics modules. This approach has now been applied to ESG management, automating many manual processes and integrating key data as part of the client profiling and investment processes. So, while a portfolio maybe greener than the benchmark, a firm, and the underlying investor will know any hidden issues.

BITA Wealth ESG Manager enables firms to bring together ESG data from multiple sources, provide overlays upon ESG market data, and input rationale within high-quality investor reports.

Visual Advantage.

BITA Wealth's ESG factor analytics can be brought together across data vendors and represented using intuitive colour coding to identify immediate conflicts





ESG factors, applied

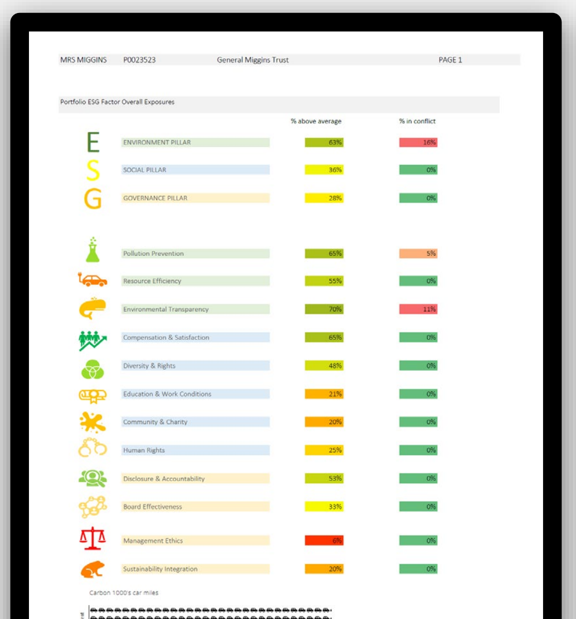
BITA Wealth's ESG factor analytics apply quickly and easily in the context of the overall portfolio, using intuitively coloured factor barcodes to identify immediate conflicts

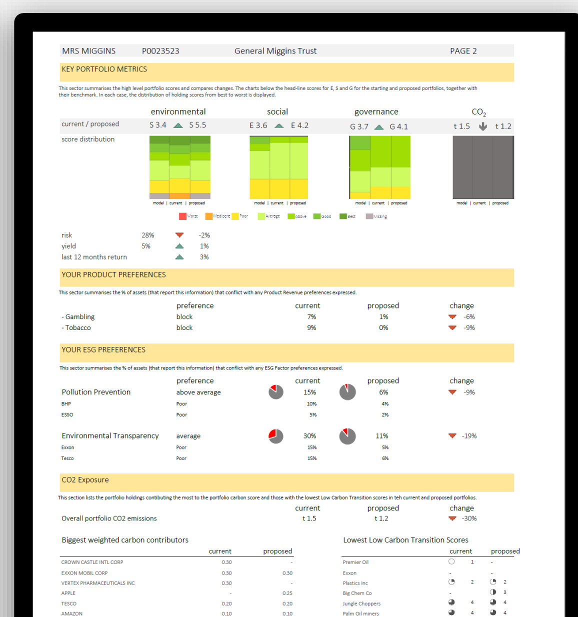
					ESG					Current Portfolio		
		%vol	%yield	price	CO2	E	S	G	Themes	weight	shares	val
Company X	B4S**X4	32.9	1.5	2.9						6.2%	5700	13.29

ESG Reporting:

BITA Wealth's ESG Manager delivers efficiency and scale to ESG reporting by producing high quality automated reports that explain how various ESG factors impact benchmark, current, and proposed portfolios, producing a list of proposed transactions and summary metrics as required. Visualisations highlight changes and impact across ESG exposures and avoid 'green-washing' averages by demonstrating how individual holdings influence the overall portfolio, showing whether they align or conflict with investor preferences. Generated ad-hoc or incorporated within an Annual Review process these reports are configured to a level of detail that meets the varying needs of individuals, Charities, Trusts, or Family Offices.

Apply. Manage. **Report.**





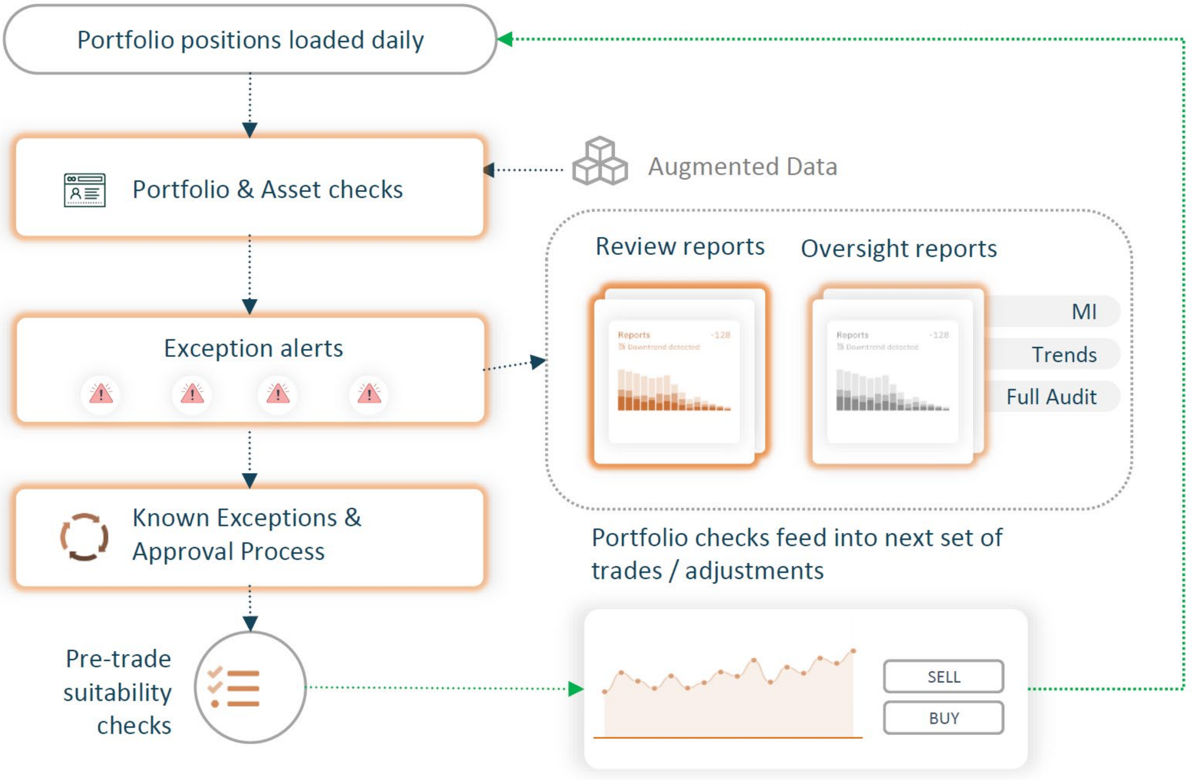
ESG Monitoring:

BITA Wealth ESG Manager runs daily, automated tests across all portfolios, checking them against client preferences and ethical restrictions at asset and overall portfolio level, ensuring both the client and firm's investment policy objective are met. Investment managers have instant access to intuitive portfolio analytics and reporting, enabling them to better analyse and manage portfolios to ensure continued suitability.

Underpinned by full exception management and approval processes, BITA Wealth's ESG Manager enables structured data to be captured across an enterprise. A full set of high-quality reports are instantly available at Executive Committee, Branch, Manager and Client levels, enabling a firm to quickly identify, manage and mitigate the risks of asset or portfolio outliers whilst also understanding trends and patterns across the organisation.

In-built checks and balances

INSTANT INFORMATION, ENTERPRISE WIDE



React

With pre-trade conflict analytics, investment screening and advanced modelling.

Scale

Automated analysis, reporting, monitoring and trend analysis keep you ahead of the game as you grow and scale.

Advantages

- Automated investment screening
- Integrated preference & policy analytics
- Suitable for individuals, charities & trusts
- Intuitive ESG factor analytics
- High quality ESG reporting
- On-going ESG suitability monitoring

PORTFOLIO

CRM

Accounting

ESG Analysis

Deliver ESG aware portfolios efficiently across an enterprise, with systematic investment screening, what-if analytics and modelling, including look-through on funds, models & ETF's

ESG Reporting

Deliver high quality ESG Investor reporting.

Report key risks and trends across an organisation with a transparent and auditable process.

ESG Monitoring

Ensure on-going ESG suitability of investments against mandate and policy. Quickly identify asset or portfolio outliers, supported by full exception management and approvals processes.

How we bring practical ESG Management to your desktop.

5 easy steps:

1

ESG reporting

Deliver investors high quality ESG exposure reports. Share the insights with investors through structured annual review or ad hoc reporting. Replay ESG factors, exposures, and characteristics, and, where already gathered, understand where investor ESG preferences have impacted the portfolio and how changes have been made to improve positive exposures and limit negative exposures.

2

Capture client preferences

Whether the investor preference is simply a “greener” portfolio or specific ESG factors and Impact statements, capture this information across all client types and business units to deliver against business and regulatory requirements.

3

Screen the portfolio

Review portfolio exposure to the different ESG factors, assess conflicts between client preferences and exposures and compare it to benchmark exposures. This step provides an overview of the portfolio as well as the holding level detail needed to understand where the exposures come from. The same applies to look through on funds, models and ETFs.

4

Manage portfolio exposures

What-if analysis instantly updates the portfolio’s overall ESG score and attribution to the factors and checks for client preference conflicts as you change holding weights or add positions. See which holdings are conflicted and what the impact of changing them is on risk, yield asset allocation, and other key portfolio metrics.

5

Monitor portfolio drift, known exceptions and approvals

Ensure the on-going monitoring of portfolios from an ESG perspective, ensuring portfolios align with IPS / investor preferences. Deliver central governance enterprise oversight, control and structured MI / trend analytics around portfolio drift, known exception management and approvals.

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Agile solutions for a new age.

BITA Risk® delivers innovative, award-winning software to high and ultra-high net worth wealth managers. The BITA Wealth® application provides investment teams, advisors / managers, and governance divisions the tools they need to develop and grow their businesses in a consistent and controlled way. It brings valuable oversight, insight and transparency to the investment process, transforming the efficiency of your business and raising your game.

Unlike the rigid solutions of old, BITA Wealth modules can be taken independently, or can be combined to meet a firm's business needs across investor risk profiling, portfolio analytics, ESG management and enterprise level portfolio monitoring. Easily integrated within a firms existing infrastructure, BITA Wealth delivers efficient, agile and cost-effective solutions to wealth management firms.

MODULES

BITA Wealth Profiler: Investor profiling & proposal generation

BITA Wealth Portfolio Analytics: Portfolio, risk and model management

BITA Wealth ESG Manager: A practical approach to sustainable investment

BITA Wealth Monitor: Enterprise oversight & control - daily, automated portfolio monitoring

BITA Wealth REG-9: Automated REG-9 reporting

For more information, our factsheets are available for all BITA Wealth modules at:

http://www.corfinancialgroup/bitarisk

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Contact us

Email: bitarisk@corfinancialgroup.com

Visit : www.corfinancialgroup.com

about corfinancial

corfinancial provides software solutions and advisory services to banking and financial services organisations worldwide. The firm has offices in London, New York and Boston.

There are five key problem areas corfinancial looks to solve through its primary software and service offerings:

BITA Risk provides Investor Profiling, Portfolio Analytics, ESG Management and Portfolio Monitoring solutions to wealth managers through the BITA Wealth application. Available as individual modules or as an end-to-end solution.

SureVu empowers buy- and sell-side firms to efficiently monitor and track security trades throughout the settlement lifecycle, enabling users to proactively manage and oversee settlement exposure.

salerio is a post-trade processing solution that enables asset managers, hedge fund managers and securities/fund services firms to automate the flow of securities and treasury trades from matching through settlement.

Costars is an investment administration platform for third party administrators, fund supermarkets and wealth management companies.

paragon is a comprehensive front-to-back office fixed income portfolio accounting, processing and reporting solution for banks.

Other solutions from

corfinancial.

London | New York | Boston

salerio.

Automates the flow of securities and treasury trades from matching through to settlement.

paragon.

Fixed-income accounting hub delivers front to back-office portfolio accounting and processing solutions.

SureVu.

Tracks security trades throughout the settlement lifecycle to manage and oversee settlement exposure.

costars.

Retail fund/transfer agency solution providing end-to-end administration for collective investments.
