

New regulation from the settlement discipline regime (SDR) element of the Central Securities Depository Regulation imposes a greater degree of trade management compliance.

SureVu empowers buy- and sell-side firms to efficiently monitor and track security trades throughout the entire settlement lifecycle. SureVu manages trade exposure, enabling users to proactively oversee settlement exposure and ensure compliance with the new regulation.

## Why SureVu?

**Settlement Failure:** Real-time notifications promote issues management

**Settlement Risk Exposure:** Pro-actively manage exposure of unsettled trades at counterparty or market level

**Avoid Buy-Ins and Fines:** Comply with SDR requirements in a world of increasing scrutiny and penalties

**Reduce Costs:** Streamlines and standardises trade settlement monitoring

**Light-Touch, Fast Deployment:** Fully-managed cloud service enabling rapid onboarding and reduced infrastructure costs

## Stakeholders:

Investment Managers

Broker-Dealers

Outsourcers (Asset/Securities Services)

Custodians

## Functions:

Web-based dashboard

Settlement issue notifications

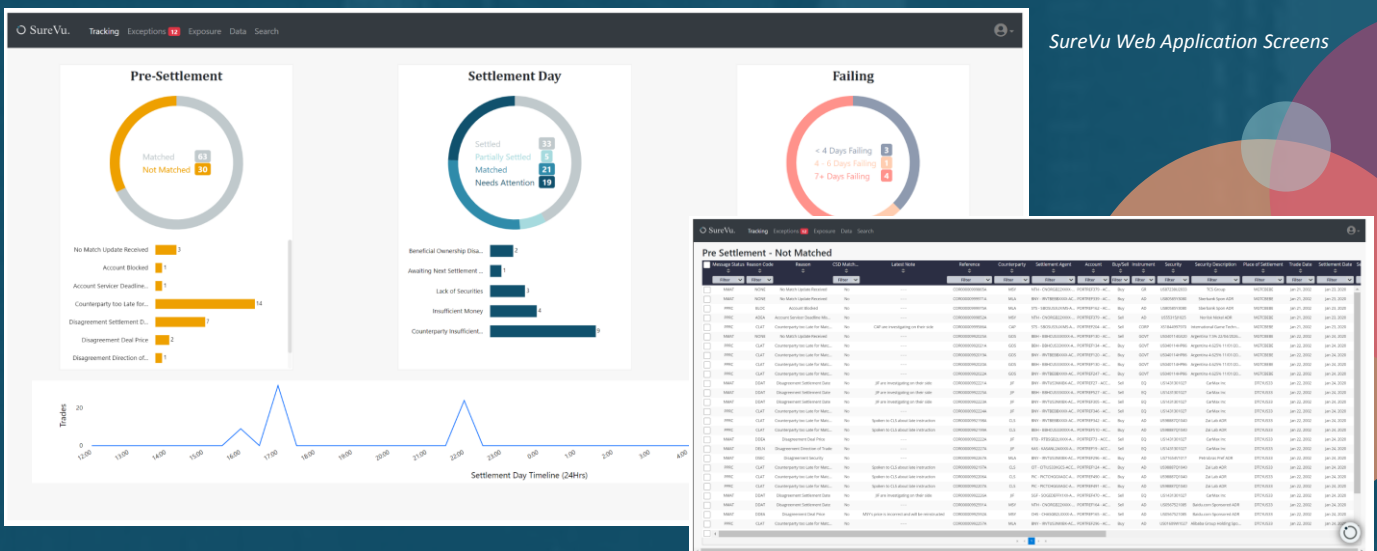
Issues interrogation

Data export

Comprehensive audit



*In the post-SDR environment it will be even more critical to closely monitor trades through the settlement cycle. It's our aim to make the early identification of trade issues much easier, thereby reducing trade failures and meeting the stringent demands of SDR.*



SureVu Web Application Screens