

 sanctions.

peace of mind

sanctions.
monitor



Wherever you are in the world,
whatever your business, if
someone in your transaction chain
is on a sanctions list, you potentially
commit an offence.

a product from
corfinancial.

london | boston | new york

Sanctions compliance is critical ... but need not be crippling

Ever more complex worldwide regulations add to the difficulties of complying with a vast array of economic & financial sanctions programmes.

Many countries have legislated to make banks, businesses and individuals along transaction chains legally responsible for upholding sanctions prohibitions and restrictions, and liable if they process transactions they should not. Fines, criminal sanction and/or assets frozen for long periods could cripple a business not meeting its sanctions responsibilities.

Without a repeatable, consistent and rigorous set of processes and controls in place to ensure compliance, trading parties expose themselves to these threats, along with reputation damage. In recent years some leading worldwide corporations have had to contend with damaging headlines.

Developed to meet the challenges posed by sanctions compliance, sanctions.monitor is a sophisticated, easy to implement, integrate and use solution that is also very cost-effective to deploy across your organisation.

Key features & benefits

PROVIDE confidence that thorough, consistent sanctions checking occurs whenever needed in a timely manner.

DETECT possible violations accurately to minimise time taken to manage potential issues using our advanced search algorithms.

MINIMISE false matches using our innovative fuzzy logic tools to lessen cost impact of wasted time.

DEMONSTRATE due diligence with comprehensive reports and audit trails.

INCLUDE your own preferred supplement lists for additional protection.

ADAPT to ever-evolving regulatory standards to be confident you remain compliant, even as sanctions lists might change daily.

INTEGRATES with existing technology, operations,

processes and workflows for maximum effect with minimum disruption.

SIMPLE to use so staff can get up and running in hours and easily updated.

DEDICATED support team to advise and help manage your sanctions compliance regime.

Who needs sanctions.monitor?

COMPLIANCE OFFICERS managing compliance programmes and adhering to regulations.

IMPORTERS, EXPORTERS, SHIPPING & FREIGHT checking Bills of Lading, Certificates of Origin as well as the goods being transported.

TRADE FINANCE DEPARTMENTS should sanctions check Letters of Credit and Guarantees before issue, amendment or confirmation.

ACCOUNTS PAYABLE DEPARTMENTS must check invoices up and down the supply payment chain for restricted parties.

TELLERS, MONEY LENDERS & CASINOS must be vigilant with walk-in customers who are not known.

TRAVEL AND TOURISM BUSINESSES must not provide economic benefit to listed parties.

Problems we solve for financial institutions and their trade clients

Ultimately sanctions.monitor works to assist transacting parties make sure no one in their transaction chain is a restricted, prohibited or politically exposed party. sanctions.monitor has important immediate advantages:

- Lower risk of legitimate businesses targeted for abuse by organisations with criminal intent to breach sanctions or to finance terrorism.

- Reduced risk of international financial sanctions breaches; subsequent prosecution; reputational damage; unnecessary delays in contract fulfilment or payment, by ensuring systems and controls are thorough and appropriate to your need.
- Retain control by ability to demonstrate and validate your sanctions checking processes are sound, with comprehensive management, compliance and audit report tools.
- Enjoy very significant cost savings whether your current systems are manual or automated.

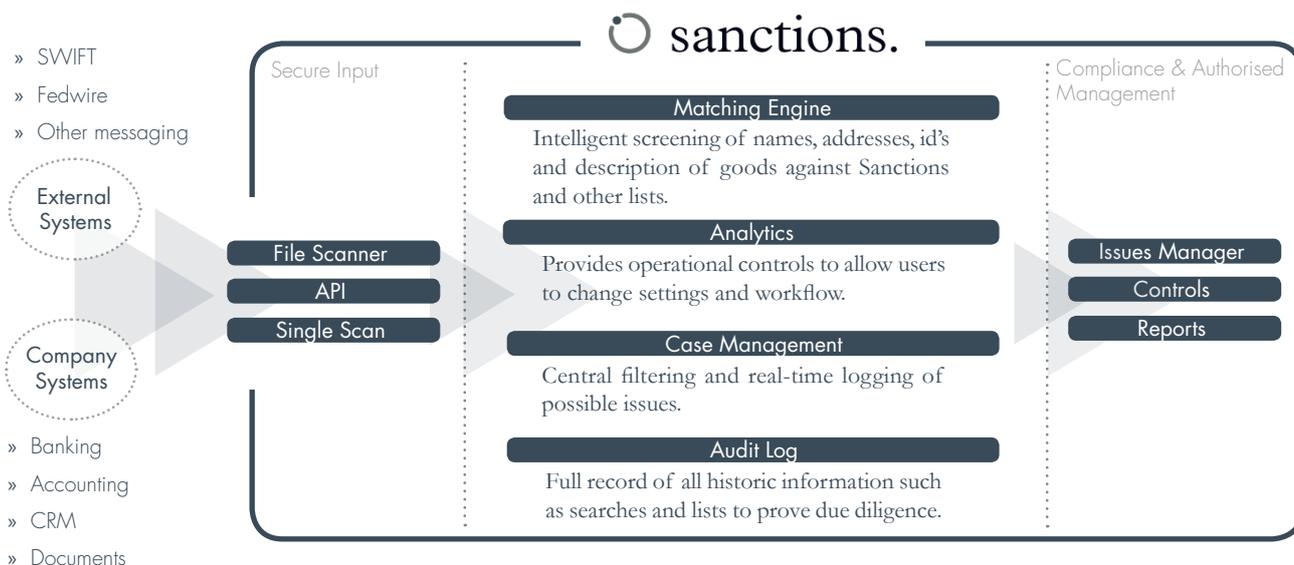
SANCTIONS FILE SCANNER: For banks and corporate clients who need bulk checks, or want to check pertinent areas of a file such as SWIFT, FEDWIRE or CHAPS messages, File Scanner is the perfect solution to examine exactly what you want, in structured or unstructured files of any size or format

SANCTIONS API: Now any part of your business can connect to sanctions.monitor anytime. With our API integrating seamlessly to your own applications, every relevant step in your business processes can play its part in providing the protection you need

SANCTIONS SINGLE SCAN: Offers on-demand scans of all types of data (e.g. names, addresses, id's, goods, ship manifests) as and when needed, without the need for an installed solution. Perfect for smaller businesses whose customer profile only warrants occasional sanctions related checks.

Element & process flow

There are three ways to start your search into sanctions.monitor:



Making sanctions screening work – a partnership approach

Many managers responsible for sanctions screening tell us that they want to be as comprehensive as they possibly can, but also that they want to reduce false positives, which can add significantly both to costs and delays.

This is where our initial work to help you set up your screening operations will prove invaluable.

Selecting the right lists and supplementary data to build databases that are best suited to the way your organisation operates is key to the scope your

sanctions screening takes, offering the right balance of protection and viability.

Our sophisticated matching engine then ensures that false positives are minimised, so avoiding wasted time and cost.

sanctions.monitor's analytical functionality enables you to set appropriate control levels, and the resultant reports and audit trails means there is hard evidence that due diligence has been adhered to, which can be confidently demonstrated both to internal and external reviewers, auditors and regulators.

Of course, that can only be the case where support for sanctions screening is embedded in the culture and operational architecture of your business. ■



Find out more about why our customers are enjoying the benefits of corfinancial's sanctions solutions. How can we help?

call 0207 877 4045
email info@corfinancialgroup.com
visit corfinancialgroup.com

solutions from
corfinancial.
london | boston | new york.

salerio.
Automates the flow of securities and treasury trades from matching through to settlement.

bitarisk.
Suite of applications addressing needs of private wealth managers, investment advisors, asset managers, quant teams.

paragon.
Fixed-income accounting hub delivers front-to-back office portfolio accounting and processing solutions.

sanctionsmonitor.
A sophisticated, easy-to-implement and easy-to-use sanctions monitoring, auditing and reporting tool.

abraxsys.
Comprehensive integrated banking platform delivering an industry-leading banking service.

costars.
Retail fund/transfer agency solution providing end-to-end administration for collective investments.

almeter.
Control financial and business risk by assessing the impact of varying interest rate scenarios and hedging activities.

kycmonitor.
Case management solution to provide clear evidence to the relevant authorities that effective and sufficiently robust AML controls are in place.